

=> d his

(FILE 'USPAT' ENTERED AT 15:30:03 ON 10 AUG 1999)

L1 21 S CUSIP  
L2 49 S "COMPLIANCE INFORMATION"  
L3 49 S COMPLIANCE INFORMATION  
L4 0 S L3 AND SECURITIES  
L5 7 S L3 AND EXTRACT?  
L6 2 S SEC (3W) FILING#  
L7 593 S SECURITIES AND INFORMATION  
L8 33 S L7 AND 707/CLAS  
L9 15 S L8 AND EXTRACT?

=> s compliance (P) SEC

25225 COMPLIANCE  
146116 SEC  
L10 121 COMPLIANCE (P) SEC

=> s compliance (P) SEC (P) filing#

25225 COMPLIANCE  
146116 SEC  
23713 FILING#  
L11 0 COMPLIANCE (P) SEC (P) FILING#

=> d 110 1-10

1. 5,931,646, Aug. 3, 1999, Blood delivery instrument for regulating the amount of blood stored in an accumulator independent of the pumping operation; Atsuhiko Nogawa, et al., 417/395, 384 [IMAGE AVAILABLE]
2. 5,931,160, Aug. 3, 1999, Ventilator control system and method; Don Gilmore, et al., 128/204.21, 204.18, 204.23, 205.23 [IMAGE AVAILABLE]
3. 5,902,177, May 11, 1999, Apparatus and method for removing ribs; Clement Tessier, et al., 452/156, 157, 158, 171 [IMAGE AVAILABLE]
4. 5,878,668, Mar. 9, 1999, Process for preparing stamp; Yoichi Ando, et al., 101/379, 368, 401.1 [IMAGE AVAILABLE]
5. 5,865,417, Feb. 2, 1999, Integrated electrically operable normally closed valve; James M. Harris, et al., 251/11; 338/23 [IMAGE AVAILABLE]
6. 5,858,600, Jan. 12, 1999, Method of controlling electric charge of a two-component developing agent; Takayuki Itakura, et al., 430/137; 361/226 [IMAGE AVAILABLE]
7. 5,847,778, Dec. 8, 1998, Video display device; Yasuaki Muto, et al., 348/759, 761, 776; 349/25, 28, 37 [IMAGE AVAILABLE]
8. 5,846,478, Dec. 8, 1998, Process for producing polyamide blow molded product; Koji Onishi, et al., 264/523, 537, 540; 525/66, 183 [IMAGE AVAILABLE]
9. 5,843,059, Dec. 1, 1998, Absorbent composite and disposable absorbent garment comprising same; Michael John Niemeyer, et al., 604/368, 374 [IMAGE AVAILABLE]
10. 5,809,483, Sep. 15, 1998, Online transaction processing system for bond trading; S. William Broka, et al., 705/37 [IMAGE AVAILABLE]

\* \* \* \* \*  
 \* U. S. P A T E N T T E X T F I L E \*  
 \*  
 \* THE WEEKLY PATENT TEXT AND IMAGE DATA IS CURRENT \*  
 \* THROUGH AUGUST 10,1999 \*  
 \*  
 \*  
 \* \* \* \* \*

=> s CUSIP

L1 21 CUSIP

=> d 1-21

1. 5,926,552, Jul. 20, 1999, System and process for guaranteeing signatures on securities; Paul McKeon, 380/51, 24 [IMAGE AVAILABLE]
2. 5,918,218, Jun. 29, 1999, Method and apparatus for automated trade transactions processing; Randall C. Harris, et al., 705/37, 35 [IMAGE AVAILABLE]
3. 5,915,209, Jun. 22, 1999, Bond trading system; David Lawrence, 455/31.2 [IMAGE AVAILABLE]
4. 5,893,079, Apr. 6, 1999, System for receiving, processing, creating, storing, and disseminating investment information; Daniel Cwenar, 705/36, 35, 37 [IMAGE AVAILABLE]
5. 5,873,071, Feb. 16, 1999, Computer method and system for intermediated exchange of commodities; Robert A. Ferstenberg, et al., 705/37, 36 [IMAGE AVAILABLE]
6. 5,819,238, Oct. 6, 1998, Apparatus and accompanying methods for automatically modifying a financial portfolio through dynamic re-weighting based on a non-constant function of current capitalization weights; Erhard Robert Fernholz, 705/36 [IMAGE AVAILABLE]
7. 5,809,483, Sep. 15, 1998, Online transaction processing system for bond trading; S. William Broka, et al., 705/37 [IMAGE AVAILABLE]
8. 5,802,499, Sep. 1, 1998, Method and system for providing credit support to parties associated with derivative and other financial transactions; Gerald P. Sampson, et al., 705/35 [IMAGE AVAILABLE]
9. 5,758,097, May 26, 1998, System for voting stock component interests; A. Joseph Debe, et al., 705/35, 12 [IMAGE AVAILABLE]
10. 5,671,363, Sep. 23, 1997, Private stock option account control and exercise system; John M. Cristofich, et al., 705/37 [IMAGE AVAILABLE]
11. 5,671,358, Sep. 23, 1997, System for voting stock component interests; A. Joseph Debe, et al., 705/1 [IMAGE AVAILABLE]
12. 5,606,361, Feb. 25, 1997, Videophone interactive mailbox facility system and method of processing information; John Davidsohn, et al., 348/14; 364/226, DIG.1; 379/93.12, 93.17 [IMAGE AVAILABLE]
13. 5,517,406, May 14, 1996, Method and apparatus for data verification and position reporting in an automated trade transactions processing system; Randall C. Harris, et al., 705/30, 37 [IMAGE AVAILABLE]
14. 5,502,637, Mar. 26, 1996, Investment research delivery system;

Roland C. Beaulieu, et al., 705/36 [IMAGE AVAILABLE]

15. 5,497,317, Mar. 5, 1996, Device and method for improving the speed and reliability of security trade settlements; John G. Hawkins, et al., 705/37 [IMAGE AVAILABLE]

16. 5,243,331, Sep. 7, 1993, Keypad for computer system; Robert G. McCausland, et al., 345/172; 340/825.26 [IMAGE AVAILABLE]

17. 5,227,967, Jul. 13, 1993, Security instrument data system without property inapplicable nulls; Stephen C. Bailey, 705/35 [IMAGE AVAILABLE]

18. 5,220,500, Jun. 15, 1993, Financial management system; Andrew V. Baird, et al., 705/36 [IMAGE AVAILABLE]

19. 4,868,866, Sep. 19, 1989, Broadcast data distribution system; Billy L. Williams, Jr., 340/825.31, 825.26; 348/1, 6; 380/49; 455/4.2, 38.1; 705/35; 707/9 [IMAGE AVAILABLE]

20. 4,566,066, Jan. 21, 1986, Securities valuation system; Frederic C. Towers, 705/36 [IMAGE AVAILABLE]

21. 4,334,270, Jun. 8, 1982, Securities valuation system; Frederic C. Towers, 705/36; 364/227.2, 918.8 [IMAGE AVAILABLE]

=> s "compliance information"

```
      25225 "COMPLIANCE"
      410738 "INFORMATION"
L2      49 "COMPLIANCE INFORMATION"
          ("COMPLIANCE" (W) "INFORMATION")
```

=> s compliance information

```
      25225 COMPLIANCE
      410738 INFORMATION
L3      49 COMPLIANCE INFORMATION
          (COMPLIANCE (W) INFORMATION)
```

=> s l3 and securities

```
      794 SECURITIES
L4      0 L3 AND SECURITIES
```

=> s l3 and extract?

```
      304228 EXTRACT?
L5      7 L3 AND EXTRACT?
```

=> d 1-7

1. 5,895,450, Apr. 20, 1999, Method and apparatus for handling complaints; Marshall A. Sloo, 705/1; 345/331; 705/10 [IMAGE AVAILABLE]

2. 5,809,997, Sep. 22, 1998, Electronic medication chronolog device; James L. Wolf, 128/200.23, 202.22, 205.23 [IMAGE AVAILABLE]

3. 5,778,381, Jul. 7, 1998, Computer aided maintenance and repair information system for equipment subject to regulatory compliance; Michael A. Sandifer, 707/104; 701/29, 30; 707/103 [IMAGE AVAILABLE]

4. 5,612,869, Mar. 18, 1997, Electronic health care compliance assistance; Alan M. Letzt, et al., 705/3; 704/251, 501, 504 [IMAGE AVAILABLE]

5. 5,423,322, Jun. 13, 1995, Total compliance method and apparatus for noninvasive arterial blood pressure measurement; Justin S. Clark, et al., 600/485, 480, 507 [IMAGE AVAILABLE]

6. 4,843,377, Jun. 27, 1989, Remote confinement system; Kip L. Fuller, et al., 340/573.4; 348/143; 379/38, 40, 49; 382/117, 118, 124; 600/532 [IMAGE AVAILABLE]

7. 4,616,316, Oct. 7, 1986, Medication compliance monitoring device having conductive traces upon a frangible backing of a medication compartment; John A. Hanpeter, et al., 221/2; 206/531, 534; 221/5, 302; 340/303; 364/479.14; 439/301; 702/177 [IMAGE AVAILABLE]

=> s SEC (3w) filing#

146116 SEC

23713 FILING#

L6 2 SEC (3W) FILING#

=> d 1-2

1. 5,864,871, Jan. 26, 1999, Information delivery system and method including on-line entitlements; Eduard Kitain, et al., 707/104, 9, 10 [IMAGE AVAILABLE]

2. 5,819,271, Oct. 6, 1998, Corporate information communication and delivery system and method including entitlable hypertext links; John J. Mahoney, et al., 707/9, 10, 104 [IMAGE AVAILABLE]

=> s securities and information

794 SECURITIES

410738 INFORMATION

L7 593 SECURITIES AND INFORMATION

=> s 17 and 707/clas

6423 707/CLAS

L8 33 L7 AND 707/CLAS

=> s 18 and extract?

304228 EXTRACT?

L9 15 L8 AND EXTRACT?

=> d 1-15

1. 5,905,975, May 18, 1999, Computer implemented methods and apparatus for auctions; Lawrence M. Ausubel, 705/37, 26; 707/104 [IMAGE AVAILABLE]

2. 5,903,882, May 11, 1999, Reliance server for electronic transaction system; Alan Asay, et al., 705/44; 380/23, 25, 30; 707/9 [IMAGE AVAILABLE]

3. 5,893,911, Apr. 13, 1999, Method for defining and applying rules for message distribution for transaction processing in a distributed application; Harold Aron Piskiel, et al., 707/10; 709/203, 217 [IMAGE AVAILABLE]

4. 5,884,312, Mar. 16, 1999, System and method for securely accessing information from disparate data sources through a network; Robert

Frederick Dustan, et al., 707/10; 709/200, 206 [IMAGE AVAILABLE]

5. 5,872,640, Feb. 16, 1999, Facsimile form generation system; Larry Cohen, et al., 358/434, 403, 442; 707/508, 514 [IMAGE AVAILABLE]

6. 5,848,426, Dec. 8, 1998, Automatic data translation between different business systems; Ynjiun P. Wang, et al., 707/505; 358/448 [IMAGE AVAILABLE]

7. 5,819,263, Oct. 6, 1998, Financial planning system incorporating relationship and group management; John W. Bromley, et al., 707/3; 345/163, 348; 705/3, 10 [IMAGE AVAILABLE]

8. 5,590,325, Dec. 31, 1996, System for forming queries to a commodities trading database using analog indicators; Anthony D. Kolton, et al., 707/104; 364/282.1, 283.3, DIG.1; 705/10 [IMAGE AVAILABLE]

9. 5,414,838, May 9, 1995, System for extracting historical market information with condition and attributed windows; Anthony D. Kolton, et al., 707/104; 364/282.1, 286.3, DIG.1; 395/117; 705/36; 707/4 [IMAGE AVAILABLE]

10. 5,390,113, Feb. 14, 1995, Method and electronic apparatus for performing bookkeeping; Wesley C. Sampson, 705/30; 707/200 [IMAGE AVAILABLE]

11. 5,339,392, Aug. 16, 1994, Apparatus and method for creation of a user definable video displayed document showing changes in real time data; Jeffrey S. Risberg, et al., 345/333, 334; 707/501 [IMAGE AVAILABLE]

12. 5,303,368, Apr. 12, 1994, Dead lock preventing method for data base system; Koji Kotaki, 707/8; 364/246.8, 281.5, 282.1, DIG.1; 710/200 [IMAGE AVAILABLE]

13. 4,104,717, Aug. 1, 1978, Automatic system for providing telephone number information service; Eiji Fujimura, 707/5; 364/222.2, 222.3, 224, 224.1, 225, 225.4, 227.4, 234, 234.1, 235, 235.6, 236.3, 236.5, 238, 238.3, 238.4, 239, 239.4, 245.5, 245.9, 246, 246.2, 248, 248.2, 248.3, 252, 252.3, 252.4, 253, 253.1, 256.3, 256.4, 256.5, 259, 259.2, 265, 266.5, DIG.1 [IMAGE AVAILABLE]

14. 4,020,473, Apr. 26, 1977, Automatic system for providing telephone number information service; Eiji Fujimura, 707/3; 364/222.81, 222.82, 228.3, 234, 235, 237, 243, 243.1, 248.2, 252.3, 252.4, 259, 259.2, 268, 268.3, 268.4, DIG.1 [IMAGE AVAILABLE]

15. 3,949,377, Apr. 6, 1976, Data storage and processing apparatus including processing of spacer characters; Joseph L. O'Neill, Jr., 345/507; 341/63, 87; 345/501, 523; 364/918, 918.8, 927.2, 940, 942, 947, 947.5, 951.1, 951.3, 959.1, DIG.2; 707/518 [IMAGE AVAILABLE]

=> d his

(FILE 'USPAT' ENTERED AT 15:30:03 ON 10 AUG 1999)

L1 21 S CUSIP  
L2 49 S "COMPLIANCE INFORMATION"  
L3 49 S COMPLIANCE INFORMATION  
L4 0 S L3 AND SECURITIES  
L5 7 S L3 AND EXTRACT?  
L6 2 S SEC (3W) FILING#  
L7 593 S SECURITIES AND INFORMATION  
L8 33 S L7 AND 707/CLAS  
L9 15 S L8 AND EXTRACT?

=> d his

(FILE 'USPAT' ENTERED AT 15:30:03 ON 10 AUG 1999)

L1 21 S CUSIP  
L2 49 S "COMPLIANCE INFORMATION"  
L3 49 S COMPLIANCE INFORMATION  
L4 0 S L3 AND SECURITIES  
L5 7 S L3 AND EXTRACT?  
L6 2 S SEC (3W) FILING#  
L7 593 S SECURITIES AND INFORMATION  
L8 33 S L7 AND 707/CLAS  
L9 15 S L8 AND EXTRACT?  
L10 121 S COMPLIANCE (P) SEC  
L11 0 S COMPLIANCE (P) SEC (P) FILING#

=> s l10 and 707/clas

6423 707/CLAS  
L12 0 L10 AND 707/CLAS

=> s l10 and 705/clas

3499 705/CLAS  
L13 1 L10 AND 705/CLAS

=> d

1. 5,809,483, Sep. 15, 1998, Online transaction processing system for bond trading; S. William Broka, et al., 705/37 [IMAGE AVAILABLE]

=> d his

(FILE 'USPAT' ENTERED AT 15:30:03 ON 10 AUG 1999)

L1 21 S CUSIP  
L2 49 S "COMPLIANCE INFORMATION"  
L3 49 S COMPLIANCE INFORMATION  
L4 0 S L3 AND SECURITIES  
L5 7 S L3 AND EXTRACT?  
L6 2 S SEC (3W) FILING#  
L7 593 S SECURITIES AND INFORMATION  
L8 33 S L7 AND 707/CLAS  
L9 15 S L8 AND EXTRACT?  
L10 121 S COMPLIANCE (P) SEC  
L11 0 S COMPLIANCE (P) SEC (P) FILING#  
L12 0 S L10 AND 707/CLAS  
L13 1 S L10 AND 705/CLAS